# Low-complexity Fixed-point Convolutional Neural Networks for Automatic Target Recognition

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# Automatic Target Recognition (ATR)

- ATR has been an active area of research for decades
  - synthetic aperture radar (SAR) imagery guarantees robust operation
- Deployed on resource-constrained airborne vehicles
  - real-time and always-on detection of targets is required
- Accuracy of ATR systems cannot be compromised
  - deep learning-based solutions have gained momentum



synthetic length of SAR

SAR image



### **Prior Art: Deep Networks for ATR**

Network	Number of	Number of	Best Reported
Architecture	Parameters	MACs	Accuracy [%]
Morgan [1]	88K	25M	92.3
Wagner [2]	410 <b>K</b>	10 <b>M</b>	99.5
Gao [3]	115 <b>K</b>	6 <b>M</b>	97.8
Ding [4]	231M	2 <b>B</b>	93.2
Chen [5]	303K	42 <b>M</b>	99.1

- Existing works focus on achieving the best classification accuracy
  - ignore the cost of implementing these networks
- The models require floating-point arithmetic for implementation
  - prohibitive on resource-constrained devices

### Contributions

- We present the design of low-complexity networks for ATR with minimal loss in classification accuracy via:
  - compact network architecture design
  - training networks with reduced precision activations and weights
- Our proposed networks achieve a total 984 × reduction in representational cost and 71 × reduction in computational cost compared to the best CNN in the SAR ATR literature
  - while achieving > 99% classification accuracy on the MSTAR dataset

### **Compact Network Architecture**

- Parameterizable by f
  - controls the width of the network (complexity)
- 1<sup>st</sup> layer typically dominates complexity
  - standard 3D convolution will contribute to 99% of network complexity
- BatchNorm (BN) layers allow for training smaller models for the same accuracy
  - learning is easier when input statistics are normalized

Layer Type	Layer Shape	Input Shape
Conv	$9 \times 9 \times 1 \times 5$	$64 \times 64 \times 1$
BN	5	$56 \times 56 \times 5$
ReLU	—	$56 \times 56 \times 5$
PW-Conv	$1 \times 1 \times 5 \times f$	$56 \times 56 \times 5$
BN	f	$56 \times 56 \times f$
ReLU	_	$56 \times 56 \times f$
MaxPool	$8 \times 8$	$56 \times 56 \times f$
Conv	$2 \times 2 \times f \times 2f$	$7 \times 7 \times f$
BN	2f	$6 \times 6 \times 2f$
ReLU	_	$6 \times 6 \times 2f$
MaxPool	$2 \times 2$	$6 \times 6 \times 2f$
Conv	$2 \times 2 \times 2f \times 4f$	$3 \times 3 \times 2f$
BN	4f	$2 \times 2 \times 4f$
ReLU	_	$2 \times 2 \times 4f$
Conv	$2 \times 2 \times 4f \times 10$	$2 \times 2 \times 4f$
BN	10	$1 \times 1 \times 10$
ReLU	_	$1 \times 1 \times 10$
FC	$10 \times 10$	$1 \times 1 \times 10$
Softmax	—	$1 \times 1 \times 10$

## **Compact Network Architecture – 1<sup>st</sup> Layer**

- Factorize the 1<sup>st</sup> layer into two layers:
  - small convolution layer (5 kernels instead of *f*)
  - pointwise convolution layer



complexity reduction of 2.6  $\times$  - 4.6  $\times$ 

Layer Type	Layer Shape	Input Shape
Conv	$9 \times 9 \times 1 \times 5$	$64 \times 64 \times 1$
BN	5	$56 \times 56 \times 5$
ReLU		$56 \times 56 \times 5$
PW-Conv	$1 \times 1 \times 5 \times f$	$56 \times 56 \times 5$
BN	f	$56 \times 56 \times f$
ReLU	_	$56 \times 56 \times f$
MaxPool	$8 \times 8$	$56 \times 56 \times f$
Conv	$2 \times 2 \times f \times 2f$	$7 \times 7 \times f$
BN	2f	$6 \times 6 \times 2f$
ReLU	—	$6 \times 6 \times 2f$
MaxPool	$2 \times 2$	$6 \times 6 \times 2f$
Conv	$2 \times 2 \times 2f \times 4f$	$3 \times 3 \times 2f$
BN	4f	$2 \times 2 \times 4f$
ReLU	—	$2 \times 2 \times 4f$
Conv	$2 \times 2 \times 4f \times 10$	$2 \times 2 \times 4f$
BN	10	$1 \times 1 \times 10$
ReLU	_	$1 \times 1 \times 10$
FC	$10 \times 10$	$1 \times 1 \times 10$
Softmax	—	$1 \times 1 \times 10$

### **Training Fixed-Point Networks**

- Quantize both weights and activations in the forward path
  - keep full-precision copies of the weights for weight updates
- Two key challenges:
  - determining a suitable clipping value for quantization
  - back-propagating the gradients through non-differentiable quantization function

## **Training Fixed-Point Networks – Clipping**

• Weights clipping:

$$c_{W,l} = \max(|W_l|)$$

• Activations clipping:

$$c_{A,l} = \max_{i \in [C_l]} \left( \beta_l^{(i)} + 3\gamma_l^{(i)} \right) \qquad \begin{array}{l} \text{guarantees} \\ \Pr\{x_l \le c_{A,l}\} \ge 0.99865 \end{array}$$

- Where for every layer  $l \in \{1, 2, ..., L\}$ :
  - |. | is the element-wise absolute value operator
  - C<sub>l</sub> is the number of channels in the input activation tensor
  - $(\beta_l^{(i)}, \gamma_l^{(i)})$  are the learnable per-channel shift and scale BN parameters

### **Training Fixed-Point Networks – STE**

• Use the straight-through estimator (STE) for calculating the gradients of the quantization function:

[Bengio - arXiv'13]

$$\frac{\partial \mathcal{L}}{\partial x} = \frac{\partial \mathcal{L}}{\partial x_q} \times \frac{\partial x_q}{\partial x} \approx \frac{\partial \mathcal{L}}{\partial x_q} \times \mathbb{I}\{c_1 \le x \le c_2\}$$

$$x_q = Q(x)$$
 is the quantized signal

- $c_1, c_2$  are the quantizer clipping values
- ${\mathcal L}$  is the loss function

### **Training Fixed-Point Networks – Methodology**



perform SGD weight update

## **Complexity Metrics – Computational Cost**

• Captures the number of 1-b full adders (FA) needed to implement the multiplications required for a single inference

$$\mathcal{C}_C = \sum_{l=1}^L N_l D_l B_{W,l} B_{A,l}$$

- Where for every layer  $l \in \{1, 2, ..., L\}$  we have:
  - $N_l$  is the number of dot products
  - $D_l$  is the dot product dimensionality
  - $B_{W,l}$  and  $B_{A,l}$  are the weights and activations bit precisions respectively

## **Complexity Metrics – Representational Cost**

• Measures the number of bits needed to represent the entire network for a single inference:

$$C_{R} = \sum_{l=1}^{L} (|W_{l}|B_{W,l} + |A_{l}|B_{A,l})$$

- Where for every layer  $l \in \{1, 2, ..., L\}$  we have:
  - $|W_l|$  and  $|A_l|$  are the number of elements in the weights and activations tensors respectively
  - $B_{W,l}$  and  $B_{A,l}$  are the weights and activations bit precisions respectively

### **Experimental Setup – MSTAR Dataset**



Vehicle	Training Images	Testing Images
Туре	(17 degrees)	(15 degrees)
2 <b>S</b> 1	299	274
BMP2	698	587
BRDM2	298	274
BTR60	256	195
BTR70	233	196
D7	299	274
T62	299	273
T72	691	582
ZIL131	299	274
ZSU234	299	274

- Benchmark our networks using the publicly available MSTAR dataset
  - standard dataset for SAR-based ATR systems

## **Floating-Point Results – Accuracy**

- Comparing the classification accuracy of our proposed networks with existing network topologies
  - proposed low-complexity networks remain competitive with > 99% accuracy
- FL-x denotes our proposed floatingpoint network with f = x
  - increasing *f* improves performance

for a fair comparison, all the models were trained using the **same hyperparameter setup** 

Network	Input Crop	Test		
Architecture	Size	Accuracy [%]		
Prior Art				
Morgan [1]	$128 \times 128$	99.72		
Wagner [2]	$64 \times 64$	99.56		
Gao [3]	$64 \times 64$	99.31		
Ding [4]	$128 \times 128$	99.34		
Chen [5]	$88 \times 88$	99.66		
Proposed Networks				
FL-16	$64 \times 64$	99.38		
FL-20	$64 \times 64$	99.47		
FL-24	$64 \times 64$	99.41		
FL-28	$64 \times 64$	99.56		
FL-32	$64 \times 64$	99.66		

### **Floating-Point Results – Complexity**

- At iso-accuracy, our proposed networks achieve massive reductions in complexity
  - increasing *f* increases the complexity
- FL-16 achieves  $24 \times reduction$  in  $C_R$ and  $3.4 \times reduction$  in  $C_C$



### **Fixed-Point Results – Impact of Bit Precision**

- Fix the weight and activation precision  $B_{W,l} = B_{A,l} = B \ \forall l \in [L]$ 
  - simplifies the search space

- Using 4bits is sufficient to achieve > 99% accuracy
  - massive reductions compared to 32b floating-point



### Fixed-Point Results – Comparison

- At iso-accuracy, our proposed fixed-point (FX) networks achieve massive reductions in complexity
- FX5-16 achieves  $41 \times reduction$ in  $C_R$  and  $21 \times reduction$  in  $C_C$

All models achieving > 99% accuracy



### **Conclusion & Future Work**

- We have presented a set of compact CNN architectures for ATR coupled with a fixed-point training methodology
- The proposed networks achieve a total  $984 \times reduction$  in  $C_R$  and  $71 \times reduction$  in  $C_C$  compared to SOTA CNNs for ATR, at iso-accuracy (> 99%) on the MSTAR dataset
- Future work: mapping the proposed networks onto efficient hardware architectures to further facilitate their deployment

# Thank you!

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